



# DATA PROTECTION POLICY

October 2016

## Policy Document Control Sheet:

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**Status:** live working document

Date	Process	Category
From: July 2016 To: August 2016	Consultation period	
23 <sup>rd</sup> September 2016	Approved by Stakeholders	
7 <sup>th</sup> October 2016	Approved by NET Trust Board	
November 2016	Adopted by Governors at the local level	
September 2018	Next review date	Mandatory: NET

## **Introduction**

The Data Protection Act 1998 ensures a balance between an individual's rights to privacy and the lawful processing of personal data undertaken by organisations in the course of their business. It aims to protect the rights of individuals about whom data is obtained, stored, processed or supplied and requires that organisations take appropriate security measures against unauthorised access, alteration, disclosure or destruction of personal data.

The Trust will protect and maintain a balance between Data Protection rights.

The following information is supplied pursuant to the Data Protection Act 1998, the Data Protection (Subject Access) (Fees and Miscellaneous Provisions) Regulations and reflects the Trust's current practice. This policy does not form part of any individual's terms and conditions of employment with the Trust and is not intended to have contractual effect.

However, all members of staff are required to familiarise themselves with its content and comply with the provisions contained in it. Breach of this policy will be treated as a disciplinary offence which may result in disciplinary action under the Trust's Disciplinary Policy and Procedure up to and including summary dismissal depending on the seriousness of the breach.

## **Processing Personal Data**

### **What is personal data?**

Personal data is information relating to an individual which is stored either electronically or as part of a structured manual filing system in such a way that it can be retrieved automatically by reference to the individual or criteria relating to that individual.

An individual about whom such information is stored is known as the Data Subject. It includes but is not limited to employees. The organisation storing and controlling such information ("the Trust") is referred to as the Data Controller.

### **What is Sensitive Personal Data?**

Data consisting of information concerning an individual Data Subject's racial or ethnic origin, political or religious beliefs, trade union membership, physical and mental health, sexuality, commission or alleged commission of an offence or any proceedings in relation to the same is deemed to be Sensitive Personal Data.

## **What constitutes processing for the purposes of this Policy?**

Processing data involves any operation including but not limited to: obtaining, recording or holding data or carrying out any operation on that data such as organisation, dissemination, erasure, alteration, or retrieval.

## **When can the Trust process Personal Data?**

The Trust may only process a member of staff's Personal Data if the Data Subject consents or if the processing is necessary for any of the following:

1. The performance of a contract to which the Data Subject is a party or for taking steps at their request to enter into a contract;
2. Compliance with any legal obligation (other than a contractual obligation) to which the Data Controller is subject;
3. To protect the vital interests of the Data Subject;
4. For the administration of justice or the exercise of any functions conferred on any person by or under any enactment; and
5. For the purposes of legitimate interests pursued by the Data Controller or by third parties to whom Data is disclosed provided it would not prejudice the rights and freedoms or legitimate interests of the Data Subject.

## **When may the Trust process Sensitive Personal Data?**

The Trust may only process Sensitive Personal Data if, in the circumstances of the case:

1. We would be entitled to process Personal Data and
2. Either:
  - a) the Data Subject has given his or her **explicit** consent to processing; or
  - b) any of the following circumstances apply:
    - i) The processing is necessary for the purposes of exercising or performing any right or obligation which is conferred or imposed on the Trust by law in connection with the Data Subject's employment. This may include, but is not limited to, dealing with sickness absence, dealing with disability and making adjustments for the same, arranging private health care insurance and providing contractual sick pay;
    - ii) The processing is necessary in relation to legal rights, advice or proceedings. This may include, but is not limited to, obtaining legal advice, establishing or exercising or

- defending legal rights or the conduct of any legal proceedings (including prospective legal proceedings);
- iii) The processing is necessary to trace equality of opportunity between people of different racial or ethnic backgrounds.

The Trust will process staff's Personal Data for legal, personnel, administrative and management purposes including, but not limited to, processing:

- information about a member of staff's physical or mental health or condition in order to monitor sick leave and take decisions as to their fitness for work;
- the member of staff's racial or ethnic origin or religious or similar beliefs, age and sexual orientation, political beliefs and sexual life in order to monitor compliance with equal opportunities legislation and carry out vetting and screening of applicants and current staff in accordance with regulatory and legislative requirements from time to time in force;
- information relating to the commission or alleged commission of any criminal offence for insurance purposes and in order to comply with legal requirements and obligations to third parties;
- information relating to any criminal proceedings in which the member of staff has been involved for insurance purposes and in order to comply with legal requirements and obligations to third parties; and
- information relating to the any member of staff's union membership for the purposes of administering collective or individual consultations, or managing any internal procedures at which staff have the right to be accompanied by a trade union representative.

### **Principles of Data Processing**

The following principles shall apply whenever staff's Sensitive Personal Data is handled or processed by the Trust:

- The information to be obtained and processed will be obtained and processed fairly and lawfully;
- No member of staff shall be knowingly deceived or misled as to the purposes for which the information has been obtained or processed;
- The Trust shall wherever reasonably practicable advise the Data Subject of any information it intends to retain, the purpose of processing and any further information necessary for the processing of that data to be fair;
- Information will only be obtained or processed for one or more specified and lawful purposes;

- Information held on a Data Subject should be adequate, relevant and not excessive in relation to the specified purpose for which it has been obtained or processed;
- Information shall be accurate and where necessary will be kept up to date;
- No information will be kept for longer than is necessary to satisfy the purpose for which it was originally obtained or processed;
- All information shall be subject to the access rights described below;
- All information must be protected against unauthorised processing or damage. The level of security shall be appropriate to the nature of the data and the harm which could result from its misuse;
- No information will be exported outside the EEA unless it is to a country where the rights of the Data Subject can be adequately protected.

### **Data Subject's rights of access**

A Data Subject has the right to be informed by the Trust of the following:

1. The fact that the Personal Data about him or her is being processed;
2. A description of the information that is being processed;
3. The purpose for which the information is being processed;
4. The recipients/class of recipients to whom that information is or may be disclosed;
5. Details of the Trust's sources of information obtained;
6. In relation to any Personal Data processed for the purposes of evaluating matters in relation to the Data Subject that has constituted or is likely to constitute the sole basis for any decision significantly affecting him or her, to be informed of the logic of the Data Controller's decision making. Such data may include, but is not limited to, performance at work, creditworthiness, reliability and conduct.

Any Data Subject who wishes to obtain the above information must notify the Trust in writing of his or her request. This is known as a Data Subject Access Request. To facilitate requests the Trust has created a Subject Access Request form a copy of which is set out at Appendix 1 and a copy may be downloaded from the Trusts website at: [www.northerneducationtrust.org](http://www.northerneducationtrust.org)

The request should in the first instance be sent to the Information Governance Officer and must be accompanied by the prescribed fee. Under statutory provisions the Trust is entitled to charge a fee of up to £10 depending on the nature and scope of the request.

The Trust will endeavour to comply with a request as soon as is reasonably practicable. Please note that the Trust is not obliged to comply with a request in cases where it reasonably requires further information to establish the identity of the requesting Data Subject or to locate the information that has been requested until that further information has been supplied.

Where compliance with any request made by the Data Subject would involve disclosing information concerning another individual who may be identified therein, the Trust may only comply with the request if the individual identified has consented or it is reasonable in all the circumstances of the case to proceed without such consent.

### **A Data Subject's right to prevent processing by the Trust**

This qualified right applies if a member of staff believes that the Trust is processing either Personal Data or Sensitive Personal Data concerning him or her and the purpose or manner in which that information is being processed is either unwarranted or is causing or is likely to cause substantial damage or distress to him or her or any other individual.

In such circumstances, the member of staff may notify the Trust in writing at any time and require it at the end of such period as is reasonable in all the circumstances of the case to stop or not begin (as the case might be) processing that data or processing in a specified manner or for a specified purpose any personal data that relates to him or her.

The Trust will notify the member of staff within 21 days of receiving his or her request whether it has or intends to comply with the request or whether it considers the request unjustified and the extent (if at all) that the Trust is able to comply with it.

### **RELEVANT POLICIES**

Information Strategy  
Information Security & Assurance Policy  
Data Protection Breach Policy  
Records Management Policy  
CCTV Policy

### **RELEVANT LINKS**

Information Commissioner's Office – [www.ico.org.uk](http://www.ico.org.uk)

## CONTACTS

For further information please contact the Trust's Information Governance Officer at the following address:

Northern Education Trust  
Cobalt Business Exchange Central  
Unit 5 Silver Fox Way  
Cobalt Business Park  
Newcastle upon Tyne  
NE27 0QJ

Or by telephone on: (0191) 594 5070

Or by emailing: [public.enquiries@northerneducationtrust.org](mailto:public.enquiries@northerneducationtrust.org)

**Appendix 1 - Data Protection Act 1998**

**Data Subject Access Application Form**

Personal Details

We need your personal details to find the personal data that we hold when we provide our services.

We will keep this form on file up to one year after we reply to your request. We may transfer some of the information you give on this form to a computerised database to help us monitor and improve our performance. After one year we will destroy this form and delete identifying details from our database.

**Name** Mr/Mrs/Ms/Miss/Dr/Other

**Present Address**

**Postcode**

**Telephone** **Date of Birth**

**Email Address**

If you have lived at this address for less than two years

**Previous Address**

**Postcode**

**Personal Information requested**

The Trust does not hold a single central filing system. Our search for the information about the Data Subject will be based on the information you supply below:

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.....  
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Additional Information

Please provide any additional information like name of school, payroll number, previous surname, etc. that you think we may need to find your personal data.



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### Data Subject Declaration

I wish to access personal data that the Northern Education Trust processes for the purpose of providing its services.

I understand the Trust may need to contact me to confirm my identity. I understand the Trust may need more information from me to find the personal data that I have requested. The 40 day reply period will begin once I provide all the information the trust needs to find my personal data.

I confirm that **I am the Data Subject** and not someone acting on his or her behalf.

Please send me the information I am entitled to under section 7(1) of the Data Protection Act 1998.

**Signed** \_\_\_\_\_

**Date** \_\_\_\_\_

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### Agent's Declaration

If you are **not** the data subject but have authority to act on his or her behalf you must complete this declaration.

I understand the Trust may need to contact me to confirm my identity. I understand the council may need more information from me to find the personal data that I have requested. The 40 day reply period will begin once I provide all the information the council needs to find the personal data.

I confirm that **I act on behalf of the Data Subject** named overleaf and I have shown the Trust proof of my authority to do so.

Please send the data subject / me (delete as appropriate) the information he or she is entitled to under section 7(1) of the Data Protection Act 1998.

**Signed** \_\_\_\_\_

**Date** \_\_\_\_\_

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### Please return this form to:

The Information Governance  
Officer  
Northern Education Trust  
Cobalt Business Exchange  
Cobalt Park Way  
Silverlink  
Newcastle upon Tyne  
NE28 9NZ

Or by e-mail to  
[public.enquiries@northerneducationtrust.org](mailto:public.enquiries@northerneducationtrust.org)

Or by fax on 0191 280 5563.

If you would like help completing this form please contact the Trust's Information Governance Officer on 0191 280 4738